



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/814,261 | 03/21/2001 | 3724 | 710 | 625.0006USQ | 6 | 11 | 1 |

CONFIRMATION NO. 5581

FILING RECEIPT



OC00000006018963

CHARLES N.J. RUGGIERO, ESQ.
OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.
10TH Floor
ONE LANDMARK SQUARE
STAMFORD, CT 06901-2682



Date Mailed: 04/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Norbert Marocco, Woodbridge, CANADA;

Assignment For Published Patent Application

Shad-O-Matic Limited;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/340,574 06/28/1999

Foreign Applications

If Required, Foreign Filing License Granted 04/27/2001

Projected Publication Date: 08/09/2001

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED

02 2001
OHLANDT, GREELEY,
RUGGIERO & PERLE

Single plate cut down apparatus

Preliminary Class

083

Data entry by : KANNO, MESERET

Team : OIPE

Date: 04/30/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

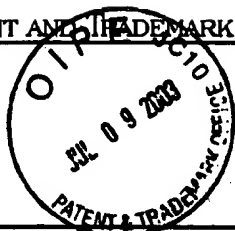


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet



CONFIRMATION NO. 5581

| | | | | |
|--|---|-----------------------------------|---|---|
| SERIAL NUMBER 09/814,261 | FILING DATE 03/21/2001 RULE | CLASS 083 | GROUP ART UNIT 3724 | ATTORNEY DOCKET NO. 625.0006USQ |
| APPLICANTS Norbert Marocco, Woodbridge, CANADA; ** CONTINUING DATA ***** THIS APPLICATION IS A CIP OF 09/340,574 06/28/1999 ** FOREIGN APPLICATIONS ***** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 04/27/2001 | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials | | STATE OR COUNTRY CANADA | SHEETS DRAWING 6 | TOTAL CLAIMS 11 |
| INDEPENDENT CLAIMS 1 | | | | |
| ADDRESS CHARLES N.J. RUGGIERO, ESQ. OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P. 10TH Floor ONE LANDMARK SQUARE STAMFORD, CT 06901-2682 | | | | |
| TITLE Single plate cut down apparatus | | | | |
| FILING FEE RECEIVED 710 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | |

File No. 625,0006 USQ

Application No.: _____

SERIAL NUMBER NOTIFICATION

APPLICATION BRANCH: Please stamp Serial Number assigned to this case and return card to sender.

Applicant(s): Shade-O-Matic Ltd. / Herbert Morasco

Title: 14-Line Plate Cut Down Apparatus

23 Total # of Pages of Specification

13 Sheets of Description

3 Sheets of Claims

1 Sheets of Abstract

6 Sheets of Drawings

☒ Combined Declaration & POA

☒ Assignment w/recordation sheet

_____ Priority Document

_____ Verified Statement Claiming Small Entity

☒ IDS and PTO Form 1449 (w/copies of references)

☒ Preliminary Amendment

_____ Provisional Application Cover Sheet

_____ Rule 53(b) Continuation/Divisional

☒ Other: Extension of time Request

☒ Transmittal Letter (in duplicate)

A Check drawn in the amount of \$ 820⁰⁰ (# _____) is also attached hereto.

Mailed March 21, 2001 ☐ COM ☒ COEM # EL7549279 US

UNITED STATES POSTAGE
147 PB8609382
4956 \$00.20 MAR 21 01
0467 MAILED FROM STAMFORD CT 06901

PLACE
STAMP
HERE

Ohlandt, Greeley, Ruggiero & Perle
One Landmark Square, 9th Floor
Stamford, CT 06901-2682



EXPRESS MAIL
POST OFFICE TO ADDRESSEE

EL 754409279 US



ORIGIN (POSTAL USE ONLY)

| | | |
|---|---|--|
| PO ZIP Code | Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second | Flat Rate Envelope <input type="checkbox"/> |
| Date in | Postage \$ | |
| No. Day Year | <input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM | Return Receipt Fee |
| Time in | Military | |
| Weight lbs. ozs. | <input type="checkbox"/> AM <input type="checkbox"/> PM Int'l Alpha Country Code | COD Fee Insurance Fee |
| No Delivery | Acceptance Clerk Initials | Total Postage & Fees \$ |
| <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday | | |

DELIVERY (POSTAL USE ONLY)

| | | |
|--|---|--------------------|
| Delivery Attempt | Time | Employee Signature |
| Mo. Day | <input type="checkbox"/> AM <input type="checkbox"/> PM | |
| Delivery Attempt | Time | Employee Signature |
| Mo. Day | <input type="checkbox"/> AM <input type="checkbox"/> PM | |
| Delivery Date | Time | Employee Signature |
| Mo. Day | <input type="checkbox"/> AM <input type="checkbox"/> PM | |
| <input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. (When delivery is to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.) | | |
| NO DELIVERY | <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday | Customer Signature |

CUSTOMER USE ONLY
METHOD OF PAYMENT: Express Mail Corporate Acct. No. **X068471.00Z**

Federal Agency Acct. No. or
Postal Service Acct. No.

FROM: (PLEASE PRINT) PHONE **203 327 4500**

TO: (PLEASE PRINT) PHONE

**OHLANDT, GREELEY, RUGGIERO
& PERLE
1 LANDMARK SQ FL 10
STAMFORD CT 06901-2620**

*Box: Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231*

March 21 2001 625.00064582

PRESS HARD. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com



Mailing Label
Label 11-F August 2000

F02

123/ 200



RECEIPT OF TRANSMITTED DOCUMENTS

PATENT OFFICE MAIL ROOM: Please place the Mail Room Date Stamp on this card to indicate receipt by the U.S. PTO of the paper(s) identified below and return card to sender.

APPLICATION S.N.: 09/814,261 FILED: March 21, 2001

ATTORNEY DOCKET NO. 625-0006-USA

TYPE OF PAPER:

| | | | |
|-------------------------------|---------------------|-------------------------------|-----------------------------------|
| Amendment | Brief | Maintenance Fee | Appeal Board's Decision |
| Issue Fee | Priority Document | Formal Drawings | Notice of Appeal <u>Supplem.</u> |
| Certified Copy | Terminal Disclaimer | Request for Recon. | References (IDS) / PTO 1449 |
| Preliminary Amend. | Declaration | Certificate of Corr. | Request for Ext. of Time |
| Petition | Missing Parts | 132 Declaration | Transmittal Letter (in duplicate) |
| Rule 53(d) Continuation (CPA) | | Assignment w/rec. Cover Sheet | |

Other:

A Check drawn in the amount of \$ _____ (# _____) is also attached hereto.

Mailed Aug. 28, 2002 ☒ COM ☐ COEM # _____



Ohlandt, Greeley, Ruggiero & Perle
One Landmark Square, 9th Floor
Stamford, CT 06901-2682



3

| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|--------------------|-------------|-----------------------|------------------|
| 09/814,261 | 03/21/2001 | Norbert Marocco | 625.0006USQ |

CONFIRMATION NO. 5581



OC000000006526981

CHARLES N.J. RUGGIERO, ESQ.
OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.
10TH Floor
ONE LANDMARK SQUARE
STAMFORD, CT 06901-2682

Title: Single plate cut down apparatus

Publication No. US-2001-0017073-A1
Publication Date: 08/30/2001

Date Mailed: 09/07/2001

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently <http://www.uspto.gov/patft/>.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, D.C. 20231, or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently <http://pair.uspto.gov/>. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at (703) 305-3028.

RECEIVED

SEP 24 2001

Customer Service Center
Initial Patent Examination Division (703) 308-1202

OHLANDT, GREELEY,
RUGGIERO & PERLE, LLP